

**Form PTO-1449 (modified)**

**Attorney Docket No.**  
**AM9-97-120**

**Serial No.**

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT**

*(Use several sheets if necessary)*

**Applicant(s):** S. Chakrabarti et al.

**Filing Date:** [REDACTED]      **Group Art Unit:** [REDACTED]

## **U.S. PATENT DOCUMENTS**

Examiner

WEN LE

**Date Considered**

19 JAN 99

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

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<b>Applicant(s):</b> <b>S. Chakrabarti et al.</b>		
<b>Filing Date:</b> <b>2/7/</b>		<b>Group Art Unit:</b> <b>2171</b>

## **FOREIGN PATENT DOCUMENTS**

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	Applicant(s): S. Chakrabarti et al.	
	Filing Date:	<b>Group Art Unit:</b> 2171

**PUBLICATIONS / OTHER ART**

Examiner Initials	Citation
UL	H. C. Arents et al., "Concept-based Retrieval of Hypermedia Information: From Term Indexing to Semantic Hyperindexing", <i>Information Processing &amp; Management</i> , Vol. 29, No. 3, 1993, pp. 373-386.
UL	J. Bichteler et al., "Document Retrieval by Means of an Automatic Classification Algorithm for Citations", <i>Information Storage and Retrieval</i> , Vol. 10, No. 7-8, July/August 1971, pp. 267-279.
UL	M. E. Frisse, "Searching for Information in a Hypertext Medical Handbook", <i>Communications of the ACM</i> , Vol. 31, No. 7, July 1988, pp. 880-886.
UL	G. H. Golub et al., "Matrix Computations", 2nd Ed., <i>The Johns Hopkins University Press</i> , 1989, pp. 218-219, 351-354.
UL	T. R. Kochtanek, "Document Clustering, Using Macro Retrieval Techniques", <i>Journal of the American Society for Information Science</i> , Vol. 34, No. 5, September 1983, pp. 356-359.
UL	F. Nanin et al., "Bibliometrics", <i>Annual Review of Information Science and Technology</i> , 1977, pp. 35-57.
UL	R. Rada et al., "Retrieval Hierarchies in Hypertext", <i>Information Processing &amp; Management</i> , Vol. 29, No. 3, 1993, pp. 359-371.
UL	E. Rivlin et al., "Navigating in Hyperspace: Designing a Structure-based Toolbox", <i>Communications of the ACM</i> , Vol. 37, No. 2, February 1994, pp. 87-96.
UL	R. W. Schwanke et al., "Cross References are Features", <i>Springer-Verlag</i> , 1993, pp 107-123.

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**PUBLICATIONS / OTHER ART**

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<i>W</i>	W. M. Shaw, Jr., "Subject Indexing and Citation Indexing - Part II: An Evaluation and Comparison", <i>Information Processing &amp; Management</i> , Vol. 26, No. 6, 1990, pp. 705-718.
<i>W</i>	W. M. Shaw, Jr., "Subject and Citation Indexing - Part I: The Clustering Structure of Composite Representations in the Cystic Fibrosis Document Collection", <i>Journal of the American Society for Information Science</i> , Vol. 42, No. 9, 1991, pp. 669-675.
<i>W</i>	W. M. Shaw, Jr., "Subject and Citation Indexing - Part II: The Optimal, Cluster-based Retrieval Performance of Composite Representations", <i>Journal of the American Society for Information Science</i> , Vol. 42, No. 9, 1991, pp. 676-684.
<i>W</i>	D. A. Spielman et al., "Spectral Partitioning Works: Planar Graphs and Finite Element Meshes", Based on <i>UC Berkeley Technical Report UCB//CSD-96-898</i> .
<i>W</i>	R. Weiss et al., "HyPursuit: A Hierarchical Network Search Engine that Exploits Content-Link Hypertext Clustering", <i>MIT Laboratory for Computer Science</i> , Cambridge, MA. <i>kro DATE</i>

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